



JC04 Rec'd PCT/JP 06 JUN 2002

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JUL 17 2002

TECH CENTER 1600/2900

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: John CARTER

Conf.: Unassigned

Appl. No.: 10/089,846

Group: Unassigned

Filed: April 4, 2002

Examiner: Unassigned

For: PHARMACEUTICAL COMPOSITIONS AND THEIR
USE IN THE TREATMENT OF NEOPLASTIC
DISEASE

ASSERTION OF SMALL ENTITY STATUS

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

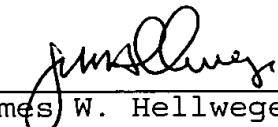
The above-identified application qualifies for small entity status. This written assertion of small entity status should satisfy the requirements of 37 C.F.R. § 1.27.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By

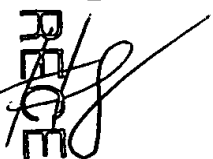

James W. Hellwege, #28,808

JWH/sh
3920-0110P

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Falls Church, VA 22040-0747
(703) 205-8000

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TECH CENTER 1600/2900



PATENT
3920-0110P

DE-REF
217307

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: John CARTER
Appl. No.: 10/089,846 Group: Unassigned
Filed: April 4, 2002 Examiner: Unassigned
For: PHARMACEUTICAL COMPOSITIONS AND THEIR
USE IN THE TREATMENT OF NEOPLASTIC
DISEASE
ATTENTION: Refund Section
Accounting Division
OFFICE OF FINANCE

JUN 06 2002

REQUEST FOR A REFUND OF 50% OF THE FILING FEE

Assistant Commissioner for Patents
Washington, DC 20231

445.00

Sir:

The following documentation and remarks are respectfully
submitted in connection with the above-identified application.

DOCUMENTATION

Attached hereto is:

☒ a copy of written assertion of small entity status
filed on even date herewith under separate cover; and/or

☐ a copy of an executed Statement Claiming Small Entity
Status (37 C.F.R. § 1.9(f) and 1.27()) - filed on even
date herewith under separate cover.

REMARKS

Pursuant to 37 C.F.R. § 1.28(a), applicants hereby request a
refund of 50% of the Filing Fee. Small entity status has been
established by the filing of a written assertion/statement on
June 6, 2002.

Appl. No. 10/089,846

Small entity applicants may now file the necessary Statement within three (3) months of the date of payment of any particular fee and request a refund. The present application qualifies for the reduced fees as implemented by the U.S. Patent and Trademark Office on October 1, 1982, and further amended on January 20, 1983 and September 8, 2000.


The present application was filed on April 4, 2002 with a filing fee of \$1,860.00. It is respectfully requested that the excess fee of \$930.00 be credited to Deposit Account No. 02-2448.

Favorable action on the present Request is respectfully requested.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By 
James W. Hellwege, #28,808

JWH/sh
3920-0110P
Attachments

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

(Rev. 12/07/01)

JC04 Rec'd PCT/PTO 06 JUN 2002

BOX PCT
PATENT
3920-0110P

#5

IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANT: John CARTER
INTERNATIONAL APPL. NO.: PCT/GB00/03770
APPL. NO.: 10/089,846
CONF.: Unassigned
FILED: April 4, 2002
FOR: PHARMACEUTICAL COMPOSITIONS
AND THEIR USE IN THE TREATMENT
OF NEOPLASTIC DISEASE

LETTER SUBMITTING ADDITIONAL DOCUMENTS FOR
ENTERING NATIONAL PHASE FOR A PCT APPLICATION

BOX PCT
Assistant Commissioner for Patents
Washington, DC 20231

JUN 06 2002

Sir:

Under the provisions of 37 C.F.R. § 1.494 or 37 C.F.R. § 1.495, attached hereto are the following additional items necessary for entering the national phase in connection with the above-identified PCT international application.

- ☒ Executed Declaration and Power of Attorney.
- ☒ Original ☐ Photocopy
- ☐ The specification attached to the executed Declaration and Power of Attorney is a true copy of the specification which was filed in the U.S. Patent and Trademark Office on April 4, 2002, including any amendments thereto (if applicable) filed on even date therewith.

☒ The undersigned hereby declares that "Attorney Docket No. 3920-0110P" on page 1 of the attached inventors' Declaration corresponds to Appl. No. 10/089,846 filed April 4, 2002 entitled "PHARMACEUTICAL COMPOSITIONS AND THEIR USE IN THE TREATMENT OF NEOPLASTIC DISEASE."

☐ English language specification, claims, and Abstract with () sheets of drawings.

☒ Applicant claims small entity status under 37 C.F.R. § 1.27.

☐ Attached is a copy of the Notification of Missing Requirements (Formalities Letter).

☐ _____

☐ No extension fee is required because the undersigned has not yet received the Notification of Missing Requirements (Formalities Letter). However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

☐ Applicant(s) hereby respectfully petitions for () month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

The Government Filing Surcharge in the amount of \$130.00 in accordance with 37 C.F.R. §§ 1.494 and 1.492 was previously paid for concurrently with the filing of the application on April 4, 2002.


- ☐ Submitted concurrently herewith **under separate cover** for recording is an Assignment.
- ☐ A Fee of \$0.00 to cover the increase in fees of the filing Surcharge is enclosed.
- ☐ Check(s) in the amount of \$0.00 to cover the above-mentioned fees is/are enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By


James W. Hellwege, #28,808

JWH/sh
3920-0110P

Attachments

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

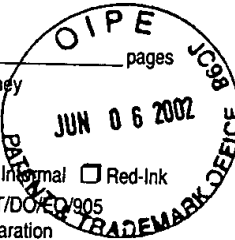
Papers Filed herewith on:

DOCKET NO. 3920-110P ATTY: JWH
APPLICANT(S): JOHN CARTER
APPLN. NO: 10/089,846 FILED: 4/4/02
PAT NO.:

- ☐ New Application with Transmittal Letter
☐ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV
☐ Filing Under 37 CFR 1.53(d) (CPA)
☐ Filing Under 37 CFR 1.114(RCE)
☐ Specification Consisting of: _____ pages
☐ Combined Declaration & Power of Attorney
☐ Assignment / Cover Letter
☐ Letter to Official Draftsman
☐ Drawings _____ Sheets ☐ Formal ☐ Informal ☐ Red-Ink
☐ Completion of Filing Requirements, PCT/DO/EO/905
or Formalities Letter and Executed Declaration
☐ Priority Document(s) / Cover Letter, No. Doc. _____
☐ Amendment: _____
☐ Transmtl Ltr ☐ Large Entity ☐ Small Entity
☐ Response
☐ Information Disc Stmnt. PTO-1449(s) _____ ref(s)
☐ Notice of Appeal ☐ Appeal Brief
☐ Issue Fee Transmittal
☐ FEES: _____
☐ Letter: _____
☒ Other: Request for Refund of 50%
of the filing fee. copy of
assertion of Small Entity

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case.
COMMISSIONER OF PATENTS AND TRADEMARKS

Due Date: 7-4-02
Handcarry: _____



DOCKET NO. 3920-110P

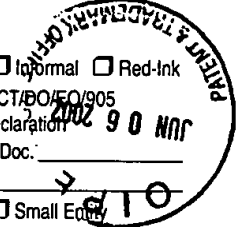
Papers Filed herewith on:

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APPLICANT(S): JOHN CARTER
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☐ Priority Document(s) / Cover Letter, No. Doc. _____
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☐ Notice of Appeal ☐ Appeal Brief
☐ Issue Fee Transmittal
☐ FEES: _____
☐ Letter: _____
☒ Other: Letter Submitting Additional
Documents for entering National
Phase for a Pet Appl.

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case.
COMMISSIONER OF PATENTS AND TRADEMARKS

Due Date: _____
Handcarry: _____



DOCKET NO. 3920-110P

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